

Risk Tolerance Questionnaire

Client Name: _____

Date: _____

Investment Attitude Risk Questionnaire: Client 1

Circle a number in answer to each of the six risk tolerance questions below. These answers will help select your Asset Allocation Target Portfolio.

1. How important is capital preservation?

Not at all 1 2 3 4 5 6 7 8 9 *Moderately important* *Very important*

2. How important is growth?

Not at all 1 2 3 4 5 6 7 8 9 *Moderately important* *Very important*

3. How important is low volatility?

Not at all 1 2 3 4 5 6 7 8 9 *Moderately important* *Very important*

4. How important is inflation protection?

Not at all 1 2 3 4 5 6 7 8 9 *Moderately important* *Very important*

5. How important is current cash flow?

Not at all 1 2 3 4 5 6 7 8 9 *Moderately important* *Very important*

6. How much risk are you willing to take to achieve a higher return?

Not at all 1 2 3 4 5 6 7 8 9 *A Moderate amount* *A lot*

What Average Annual Rate of Return* do you want to earn on your portfolio to reach your financial goals?

(Enter a number between 5% and 10%.)

Average Annual Rate of Return* You Want: _____ %

* This rate of return is hypothetical and used for comparison purposes only. It is not related to any specific investment and there is no guarantee you will actually receive this rate.

What type of investor are you?

Very Conservative Conservative Moderate Aggressive Very Aggressive



Risk Tolerance Questionnaire

Client Name: _____

Date: _____

Investment Attitude Risk Questionnaire: Client 2

Circle a number in answer to each of the six risk tolerance questions below. These answers will help select your Asset Allocation Target Portfolio.

1. How important is capital preservation?

Not at all Moderately important Very important
 1 2 3 4 5 6 7 8 9

2. How important is growth?

Not at all Moderately important Very important
 1 2 3 4 5 6 7 8 9

3. How important is low volatility?

Not at all Moderately important Very important
 1 2 3 4 5 6 7 8 9

4. How important is inflation protection?

Not at all Moderately important Very important
 1 2 3 4 5 6 7 8 9

5. How important is current cash flow?

Not at all Moderately important Very important
 1 2 3 4 5 6 7 8 9

6. How much risk are you willing to take to achieve a higher return?

Not at all A Moderate amount A lot
 1 2 3 4 5 6 7 8 9

What Average Annual Rate of Return* do you want to earn on your portfolio to reach your financial goals?
(Enter a number between 5% and 10%.)

Average Annual Rate of Return* You Want: _____ %

* This rate of return is hypothetical and used for comparison purposes only. It is not related to any specific investment and there is no guarantee you will actually receive this rate.

What type of investor are you?

Very Conservative Conservative Moderate Aggressive Very Aggressive



Risk Tolerance Questionnaire

Client Name: _____

Date: _____

If there is more than one client, please answer these questions together.

What action would you take if the market dropped 50% from its present value?

Regarding your finances, what, if anything, do you spend the most time thinking about?

Are there things in your financial life that you wish you had done differently?

What is the best and worst investment decision you have ever made?

Best: _____

Worst: _____

Are there any investments that you will NOT sell for past performance, personal or social reasons?

Are there any investments that you will NOT buy for social reasons?
